### academic Journals

Vol. 7(10), pp. 166-172 October, 2016 DOI: 10.5897/JSSEM2016.0585 Articles Number: 3330F0260663 ISSN 2141-2391 Copyright ©2016 Author(s) retain the copyright of this article http://www.academicjournals.org/JSSEM

Journal of Soil Science and Environmental Management

Full Length Research Paper

# Soil thermal parameters assessment by direct method and mathematical models

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Received 24 June, 2016; Accepted 17 August, 2016

Soil thermal parameters are mainly inputs for models of soil heat flux. Mathematical models are important tool for predicting the soil heat and water transfer, depending on some fundamentals of soil physical properties. Soil moisture is one of the soil physical properties that have a great effect on thermal parameters. The aim of the work is to describe the behavior of soil thermal parameters under different values of soil moisture, and is to investigate the effect of some fundamentals of soil physical properties on thermal parameters. We could describe the relationship between thermal diffusivity and soil moisture by  $\cap$  shaped curve using a quadratic equation and evaluate the efficiency of this equation, statistically. Experimental thermal diffusivity (K<sub>exp</sub>) by direct method and mathematical models were measured. Mathematical models were Chung and Horton model (1987) (K<sub>cal-2</sub>), the model of Arkhangel'skaya (2004) (K<sub>cal-3</sub>) and the suggested quadratic equation (K<sub>cal-1</sub>). Efficiency of the quadratic equation and mathematical models were estimated using the correlation coefficient (R<sup>2</sup>), Root Mean Square Error (RMSE), and the Nash-Sutcliffe Efficiency (NSE). The values of R<sup>2</sup>, RMSR and NSE for the quadratic equation is a simple and faster equation for forecasting soil thermal diffusivity.

**Key words:** Soil thermal parameters, thermal diffusivity, thermal conductivity, soil heat capacity, soil moisture, soil bulk density, organic matter, quadratic equation and mathematical models.

#### INTRODUCTION

Soil thermal parameters are playing an important role in many, chemical, biological, physical and environmental processes such as the dew, soil aeration, crop growth (Timlin et al., 2002), soil  $CO_2$  production (Buchner et al., 2008; Bauer et al., 2012), ecosystem carbon sequestration (Ju et al., 2006), and subsurface soil water evaporation (Sakai et al., 2011). Usually, the soil heat transport simulations are based on estimates of the soil

thermal conductivity and soil thermal diffusivity as a function of the soil water content. Moreover, (Votrubova et al., 2012) noted that the simulated soil thermal conditions are strongly affected by the root water uptake approximation. Several authors have suggested models to estimate soil thermal parameters as a function of soil moisture: (Chung and Horton, 1987) estimated the thermal conductivity as a function of soil moisture; also

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Author(s) agree that this article remain permanently open access under the terms of the <u>Creative Commons Attribution</u> <u>License 4.0 International License</u> (Evett et al., 2012) described the thermal conductivity as a function of soil moisture and soil bulk density. On the other hand, (Tikhonravova and Khitrov, 2003) suggested a polynomial equation to estimate soil thermal diffusivity as a function of soil moisture as:

 $\mathsf{K}=\mathsf{K}_0+\mathsf{a}_1\theta+\mathsf{a}_2\theta^2+\mathsf{a}_3\theta^5$ 

where  $K_0$ ,  $a_1$ ,  $a_2$  and  $a_3$  are the parameters of the equation. Moreover, (Arkhangel'skaya, 2004) proposed another kind of equation a lognormal equation dependence on thermal diffusivity from water content. (Arkhangel'skaya et al., 2015) described the relationship between thermal diffusivity and water content by S-shaped curve. The vertical one dimensional flux density of heat (JH (W m<sup>-2</sup>)) in soil is given by Fourier's la,

$$q = -\lambda \frac{dT}{dZ}$$

where  $\lambda$  is soil thermal conductivity (J m<sup>-1</sup> s<sup>-1</sup> °C<sup>-1</sup>), T is temperature in °C and z is soil depth.

 $\lambda$  could be considered as the apparent soil thermal conductivity, as latent heat transfer cannot be separated from conduction in moist soils.

 $\lambda = \lambda * + D_{vapor} L$ 

 $\lambda^*$  is the instantaneous thermal conductivity, D is the thermal vapor diffusivity; L is the latent heat of vaporization (2.449 MJ/kg or 585 cal/g).

Combining the heat flux equation with the equation for conservation of heat energy results in a general expression for soil heat flow, where soil temperature may vary in time and space. The continuity equation of heat in the soil refers that the variation of temperature in time is the result of the additions and losses of energy. This equation is suggested by (Carlslaw and Jaeger, 1964; Nerpin and Chudnovsky, 1975; Kondo and Saigusa, 1994).

$$\rho s c s \quad \frac{\partial T}{\partial t} = - \frac{\partial q}{\partial z} \qquad C v \quad \frac{\partial T}{\partial t} = \frac{\partial}{\partial z} \quad (\lambda \quad \frac{\partial T}{\partial z})$$

The theoretical investigation (Shein and Karpachevskyi, 2007) indicates that the variation of temperature in time is the result of the thermal diffusivity, K ( $cm^2/sec$ ), and the changes of temperature through depth (Z cm) by classical equation:

$$\frac{\partial T}{\partial t} = \mathbf{K} \frac{\partial^2 T}{\partial z^2}$$

The thermal diffusivity K is the ratio between the thermal conductivity  $\lambda$  (J m<sup>-1</sup> sec<sup>-1</sup>°C<sup>-1</sup>) and the volumetric heat

capacity Cv, (that is,  $K = \frac{\lambda}{cv}$ ). Where Cv (J g<sup>-1</sup> °C<sup>-1</sup>) is the volumetric heat capacity Cv=ps Cs, where ps is the apparent density of the soil (Mg/m<sup>3</sup>), and Cs is the specific heat of the soil. If the soil is homogeneous, then the soil's volumetric heat capacity Cv and thermal conductivity  $\lambda$  are constant with depth. The objective of the current work is therefore to describe the relationships between thermal parameters and some fundamentals of soil physical properties. A second objective was to evaluate the efficiency of suggested guadratic equation. To achieve this objective, the thermal diffusivity was measured by direct method under different soil moisture content levels, then the results were compared with those estimated by mathematical models, so that we could determine which is the best model could be used to forecast thermal diffusivity.

#### MATERIALS AND METHODS

This study was carried out on sod-podzolic soils of the Moscow region, Zelenograd field laboratory of Soil Science Institute named by V.V.Dokuchaev. Disturbed and undisturbed soil samples were collected from profile layers according to their difference in morphological features. Undisturbed soil samples were taken by metallic cylinders of 5 cm high and 5 cm inner diameter. Particle Size Distribution was estimated using Laser Diffraction method by Analysette-22 according to (Eshel et al., 2004). Organic matter was measured using Express analyser AN-7529. Soil bulk density ( $\rho_b$ ) was determined by core method according to (Klute and Dirksen, 1986). Soil particle density ( $\rho_s$ ) was determined by pycnometer according to (Blake and Hartge, 1986). Total porosity (n) was calculated using the obtained values of particle and bulk density according to (Klute and Dirksen, 1986).

#### Experimental thermal diffusivity (Kexp)

One method for measuring thermal diffusivity K directly in the laboratory is based on placing a heat source, having a constant temperature in contact with the surface of a soil column having constant cross-sectional area and insulated sides. Soil thermal diffusivity (Kexp) was measured in the laboratory by the direct method using Kondratieff method at different values of soil moisture according to (Shein and Karpachevsky, 2007). The levels of soil moisture  $\theta_V$  were 0.02, 0.1, 0.2, 0.35, 0.4, 0.5, and 0.55, cm<sup>3</sup>/cm<sup>3</sup>.

#### Mathematical models

Three models Chung and Horton model (1987) (Kcal-2), the model of Arkhangel'skaya (2004) (Kcal-3), and the proposed quadratic equation (Kcal-1) were used to estimate thermal diffusivity as shown in Table 1. The parameters of Chung and Horton model (1987) *b*1, *b*2, and *b*3 were estimated by fitting the curve, and calculating the thermal diffusivity by indirect method through calculating volumetric heat capacity. They calculated the thermal conductivity using the equation:

 $\lambda_0(\theta) = b_1 + b_2 \theta + b_3 \theta^{0.5}$ 

Where, b1, b2, and b3 are empirical parameters. Volumetric heat capacity Cv was determined by using the formula:

Thermal diffusivity	Model	Method	Parameter
(K <sub>exp</sub> )	In Lab	Kondratieff methods	
(K <sub>cal-2</sub> )	$\lambda_{0}(\theta) = b_{1} + b_{2}\theta + b_{3}\theta^{0.5}$ $K(\theta) = \frac{\lambda(\theta)}{cv}$	Chung and Horton (1987)	$b_1$ , $b_2$ and $b_3$
(K <sub>cal-3</sub> )	$K = K_0 + a \exp \left[-0.5 \left(\frac{\ln\left(\frac{w}{w_o}\right)}{b}\right)^2\right]$	Arkhangel'skaya (2004) Lognormal equation	$K_{0}$ ,a,w,w $_{0}$ and b
(K <sub>cal-1</sub> )	$K(\theta) = b_1 + b_2 \theta - b_3 \theta^2$	Quadratic equation	$b_1$ , $b_2$ and $b_3$

Table 1. Different methods used to estimate thermal diffusivity.

 $Cv = 1.94 (1 - n - \phi) + 4.189 \theta v + 2.5 \phi (M J m^{-30} C^{-1})$ 

Where: Cv is soil heat capacity, n is the soil porosity;  $\phi$  is the volume fraction of soil organic matter and  $\theta v$  is the volumetric water content. 2) The parameters of model Arkhangel'skaya (2004), K<sub>0</sub>, a, w<sub>0</sub>, and b were determined from curve fitting of thermal diffusivity and soil moisture.

 $K = K_0 + a \exp \left[ -0.5 \left( \frac{\ln \left( \frac{w}{w_0} \right)}{b} \right)^2 \right] \label{eq:K}$ 

where W is water content, K is the corresponding thermal diffusivity;  $K_0$ , a,  $w_0$ , and b are parameters of the curve.  $K_0$  is thermal diffusivity of dry soil,  $w_0$  is the water content corresponding to the maximum thermal diffusivity. 3) The new suggest quadratic interpolation equation was to estimate thermal diffusivity K ( $\theta$ ) as a function of soil moisture.

$$\mathsf{K}(\theta) = \mathsf{b}_1 + \mathsf{b}_2 \theta - \mathsf{b}_3 \theta^2$$

The parameters of equation  $b_1$ ,  $b_2$  and  $b_3$  are *b*3 were estimated by fitting the curve.

#### Statistical analysis

Efficiency of the quadratic equation and mathematical models were determined using the correlation coefficient ( $R^2$ ), Root Mean Square Error (RMSE), and the Nash-Sutcliffe Efficiency (NSE) according to Nash and Sutcliffe (1970).

$$RMSE = \sqrt{\frac{\sum_{N} (Ym - Yc)^2}{N}}$$

NSE is defined as:

NSE=1-
$$\left[\frac{\sum_{N}(Ym-Yc)^{2}}{\sum_{N}(Ym-Yavg)^{2}}\right]$$

where Ym is the measured value of K, Yc is the corresponding calculated value of K and Yavg when referring to average of the measured values of K. Modeling efficiencies (NSE) range is from ( $-\infty$  to 1), where NSE = 1 corresponds to a perfect match between calculated values and measured data and, NSE = 0 indicates that the model predictions are as accurate as the mean of the measured data, whereas an efficiency <0 ( $-\infty$  < NSE < 0) occurs when the model simulations are worse than the measured mean. Software tools were Microsoft Excel, MATLAB, and SPSS program for the Statistical analysis.

#### **RESULTS AND DISCUSSION**

#### Effect of soil moisture on thermal parameters

#### Effect of soil moisture on thermal diffusivity

Figure 1, shows that thermal diffusivity at first increased rapidly with increasing water content to reach the maximum, then decreased at a slower rate. The maximum value of ( $K_{exp}$ ) was 9(cm<sup>2</sup>/h) at  $\theta v=0.4$  cm<sup>3</sup>/cm<sup>3</sup>, while the minimum value of (Kexp) was 4.37(cm<sup>2</sup>/h) at  $\theta v = 0.02 \text{ cm}^3/\text{cm}^3$ . This result agreed with (Arkhangel'skaya et al., 2015) who found out that the maximum thermal diffusivity is observed at a water content of 0.3 to 0.4 cm<sup>3</sup>/cm<sup>3</sup>. The reason water content increase thermal contact between soil particles and replaces the air, is that it has lower thermal conductivity than water and increases the specific heat between soil partials. But the thermal diffusivity increases more rapidly than the volumetric heat capacity, as a result, there is a decrease in thermal diffusivity. We could describe the represented data in Figure 1 by  $\cap$  shaped curve using a guadratic interpolation equation.

$$K(\theta) = b_1 + b_2 \theta - b_3 \theta^2$$

where  $b_1$ ,  $b_2$  and  $b_3$  are the experimental approximated parameters that have an effect on thermal diffusivity;  $\theta$  is a fraction of volume soil moisture. This description differs from (Arkhangel'skaya, 2004; Arkhangel'skaya et al., 2015) that described the relationship between thermal diffusivity and water content by S - shaped curves with a long gently sloped segment in the region of volumetric water content below 0.15 cm<sup>3</sup>/cm<sup>3</sup>, and the region of pronounced increase of thermal diffusivity in the water



Figure 1. Effect of soil moisture on thermal diffusivity.

content has the range from 0.15 to 0.30 to 0.35 cm<sup>3</sup>/cm<sup>3</sup>.

## Effect of soil moisture on thermal conductivity and heat capacity

Figure 2 introduces the relationship between soil moisture and each of thermal conductivity and soil heat capacity. The minimum value of  $\lambda$ =4.76 (J cm<sup>-1</sup>h<sup>-1</sup> °c<sup>-1</sup>) was at  $\theta$ v=0.02 cm<sup>3</sup>/cm<sup>3</sup> at soil depth (0-20) cm, while the maximum value of  $\lambda$ = 28.50 (J cm<sup>-1</sup>h<sup>-1</sup> °c<sup>-1</sup>) was at  $\theta$ v=0.55 cm<sup>3</sup>/cm<sup>3</sup> at soil depth (60 to 90) cm. The thermal conductivity and soil heat capacity increase by increasing soil moisture. Soil heat capacity CV increases linearly with water content, thermal conductivity  $\lambda$  increases more rapidly than Cv at low water contents. This result agreed with (Evett et al., 2012; Oladunjoye and Sanuade, 2012), they found that thermal conductivity and soil heat capacity is based on and increased with increasing soil moisture.

## Relationship between thermal parameters and organic matter under different values of soil moisture

Figure 3, shows that the highest values of thermal diffusivity and thermal conductivity were at the lowest value of organic matter 0.2%, while the lowest values of thermal diffusivity and thermal conductivity were at the highest value of organic matter 1.29%. The reason of that organic matter leads to increase macro pores and soil porosity, due to decreasing contact points between soil particles sequence decrease, thermal diffusivity and

thermal conductivity, this result agreed with (Oladunjoye and Sanuade, 2012). There were insignificant negative correlations between organic matter and each of thermal diffusivity and thermal conductivity, were -0.831 and -0.914, respectively.

## Relationship between thermal parameters and soil bulk density under different values of soil water content

Figure 4 shows that the highest values of thermal diffusivity and thermal conductivity were at the highest value of soil bulk density 1.38 Mg/m<sup>3</sup>, while the lowest values of thermal diffusivity and thermal conductivity were at the lowest value of soil bulk density 1.18 Mg/m3.The reason of that with depth, increase soil bulk density, where soil particles are better conductors of heat than water, leads to increase conduction of heat between soil particles and increasing thermal parameters, this result agreed with Arkhangel'skaya et al (2015) and Oladunjoye and Sanuade (2012).

#### Efficiency of the models

Table 2 shows that the calculated values of K obtained from three models were compared to the corresponding measured value of  $(K_{exp})$  by direct method. The results observed that the best model could be used to estimate soil thermal diffusivity as a function of soil moisture which was the quadratic equation  $(K_{cal-1})$ , then Arkhangel'skaya model  $(K_{cal-3})$  and the model of Chung and Horton  $(K_{cal-2})$ ,



**Figure 2.** Effect of soil moisture ( $\theta$ v) on soil heat capacity C<sub>v</sub> (J .cm<sup>-3</sup> °C<sup>-1</sup>) and thermal conductivity  $\lambda$  (J.cm<sup>-1</sup>.h<sup>-1</sup> °C<sup>-1</sup>).



Figure 3. A- Effect of organic matter on thermal diffusivity (K) and b- an effect of organic matter on thermal conductivity ( $\lambda$ ) under different values of soil moisture.

for sod-podzolic soil under the study. (K<sub>cal-1</sub>) had the highest values of R<sup>2</sup> which was 0.978 and NSE was 0.95, but had the lowest value of RMSE was 0.24. While R<sup>2</sup> of

 $(K_{cal-3})$  was 0.931, NSE was 0.82 and RMSE was 0.49. On the other hand  $(K_{cal-2})$  had the lowest values of  $R^2$  and NSE, which were 0.896 and 0.78, respectively. While the



**Figure 4.** A-Relationship between soil bulk density and thermal diffusivity (K) and b-the relationship between soil bulk density and thermal conductivity( $\lambda$ ) under different values of water contents.

Methods		R <sup>2</sup>			DMCE	NCE
	(K <sub>exp</sub> )	(K <sub>cal-1</sub> )	(K <sub>cal-2</sub> )	K( <sub>cal-3</sub> )	RMSE	NSE
(K <sub>exp</sub> )	1	0.978	0.896	0.931		
(K <sub>cal-1</sub> )	0.978	1	0.937	0.900	0.24	0.95
(K <sub>cal-2</sub> )	0.896	0.937	1	0.807	0.54	0.78
(K <sub>cal-3</sub> )	0.931	0.900	0.807	1	0.49	0.82

Table 2. Statistical parameters (R2), (RMSE) and (NSE).

 $(K_{exp})$  Thermal diffusivity experimental, $(K_{cal-1})$ Quadratic equation, $(K_{cal-2})$ Chung and Horton 1987 and  $(K_{cal-3})$  Arkhangel'skaya (2004).

highest value of RMSE was 0.54. Moreover, Figure 5 shows that the quadratic equation was the best descripttion for the graphical representation of the experiment data, for sod-podzolic soil under the study. The model of Arkhangel'skaya (2004) and Arkhangel'skaya et al. (2015) described the relationship between thermal diffusivity and water content by S -shaped curve. But this description differs from ours as we described thermal diffusivity by  $\cap$  shaped curve that described represented data from experimental thermal diffusivity. While the model of Chung and Horton (1987) was the less efficiency because of it estimated thermal diffusivity by indirect method, through estimated thermal conductivity as a function of soil moisture.

#### Conclusion

Soil physical properties are soil moisture, soil bulk density, particle size distribution and organic matter, which have a great effect on thermal parameters. Thermal diffusivity at first, increased rapidly with increasing water content then decreased at a slower rate. All thermal parameters increased with increasing clay content and soil bulk density (soil depth), while decreased with increasing organic matter and soil porosity. We could describe the relationship between thermal diffusivity and soil moisture by  $\cap$  shaped curve using a quadratic equation. The quadratic equation (Kcal-1) was more efficient than the model of (Arkhangel'skaya, 2004) (Kcal-3) and model of (Chung and Horton, 1987) (Kca-2), for calculating thermal diffusivity as a function of soil moisture. The quadratic equation is a simple and faster equation for forecasting soil thermal diffusivity, for sodpodzolic soil under study. However, it requires more experiments in variant types of soils.

#### **Conflict of Interests**

The authors have not declared any conflict of interests.

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Figure 5. Values of calculated and experimental thermal diffusivity at different values of soil moisture.

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